

Republic of the Philippines Department of Finance INSURANCE COMMISSION 1071 United Nations Avenue Manila



Circular Letter (CL) No.:	2021-29
Date:	13 April 2021
Supersedes:	CL No. 2020-38
	dated 09 April
	2020
	CL No. 2020-59
	dated 14 May
	2020
	CL No. 2020-20
	dated 23 March
	2020

### **CIRCULAR LETTER**

### TO : ALL PRE-NEED COMPANIES AUTHORIZED TO DO BUSINESS IN THE PHILIPPINES

## SUBJECT : GUIDELINES ON THE ELECTRONIC SUBMISSION OF THE 2020 ANNUAL STATEMENTS

WHEREAS, Sections 42 and 43 of Republic Act (RA) No. 9829, otherwise known as the "Pre-Need Code," directs all pre-need companies authorized to do business in the Philippines to submit (a) Annual Audited Financial Statements (AFS) signed and sworn to by its chief executive officer, chief finance officer and external auditors, within one hundred twenty (120) days after the calendar or fiscal year, and (b) Annual Statement (AS) of its trust fund for each type of plan, in a form prescribed by the Insurance Commission (IC or the "Commission") and shall include details as to all income, disbursements, assets and liability items of and associated with the said trust fund accounts;

WHEREAS, the IC issued Circular Letter (CL) No. 2014-15 dated 15 May 2014 entitled "Fees and Charges" ("Circular on Fees and Charges"), which likewise imposes penalties for the delay of the submission of the reportorial requirements as expressly enumerated in Item No. VII, paragraphs A, B and D;

WHEREAS, IC CL No. 2020-59 dated 14 May 2020 directs the above-mentioned companies to submit their AS, AFS and attachments through electronic means.

01 TRANCULINO'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

Notwithstanding the submission of AS, AFS and attachments, the IC may require the company to submit the original hard copy of the electronically submitted documents for examination;

WHEREAS, in consideration of the Enhanced Community Quarantine (ECQ) imposed in the National Capital Region+, the Commission takes cognizance of the fact that the continued implementation of the ECQ poses specific challenges on the business operation and preparation of the regulatory requirements;

**NOW, THEREFORE,** in view of all the foregoing and pursuant to the Insurance Commissioner's power under Section 6 of RA No. 9829, the following guidelines are hereby promulgated:

### SECTION 1. ANNUAL STATEMENTS (AS) OF THE PRE-NEED COMPANY AND AS OF TRUST FUND.

- A. Separate AS showing the financial condition of the pre-need company and AS of the Trust Fund *for each type of plan* shall be submitted in soft copy following the prescribed format:
  - i. AS shall follow the pro-forma template, as seen in Annex "A-1" and "A-2";
  - ii. The exact formats, columnar headings and footnote instruction found in every page of the attached pro-forma AS shall be **strictly observed**; and
  - iii. The Investments in Trust Fund, Pre-Need Reserve and Planholders' Benefit Payable accounts should be broken down into Old (SEC) and New (IC) Basket per types of plan in accordance with CL No. 2021-013, as shown in the updated schedule in **Annex "A-1."**
- B. Scanned copy of the notarized sworn statement duly signed by the President, Vice President, Actuary, Treasurer and Chief Accountant with attached documentary stamp should be attached; and
- C. The submitted AS shall include supporting documents necessary to authenticate the contents of the AS, as seen in **Annex "B."**

Any AS not in accordance with the prescribed format, with missing data/information, and incomplete attachments shall not be accepted.

The above enumerations do not prejudice the power of the Commission under Section 6 (c) of the Pre-Need Code of the Philippines to require other relevant information in the regulation, supervision and monitoring of the operations and management of pre-need companies to ensure compliance with the Pre-Need Code, as well as existing laws, rules, regulations and procedures.

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### SECTION 2. ONLINE SUBMISSION

All AS and corresponding attachments referred in this CL as **Annex "B"** shall be uploaded through the IC Online Uploading Portal via https://onuploading.insurance.gov.ph/templates/login only.

The documents in **Annex "B"** shall be arranged numerically according to the item numbers.

Uploaded documents shall be encrypted and the checksum of each file/folder shall be certified and uploaded in the above portal to ensure the data integrity of the uploaded documents.

### SECTION 3. DEADLINE OF ONLINE SUBMISSION OF THE AS

The AS and corresponding attachments of the above-mentioned companies shall be submitted on or before **30 April 2021**, **4:00 PM**. Submissions made **until 31 May 2021**, **4:00 PM** may be accepted without the imposition of penalty for delayed submission.

### **SECTION 4. REVIEW AND APPROVAL**

The submission shall be considered official once an e-mail from the company's authorized representative, stating that the AS and corresponding attachments are completely uploaded, is received by the IC Examiner-in-Charge (EIC), copy furnished the Division Manager and Supervisors.

The EIC will evaluate the uploaded documents and if found complete, the EIC will release an email, with the attached Order of Payment (OP) notifying the company's authorized representative to proceed with the payment of the filing fee and penalty (if any).

If the uploaded AS and its attachments are found to be incomplete, the same shall not be accepted, and the EIC will notify the company's authorized representative regarding the lacking items/documents/attachments via e-mail.

### **SECTION 4. PAYMENT INSTRUCTIONS**

Payment of the filing fee and penalty (if any) shall be made within the date indicated in the OP, in any of the following manner:

Mode of Payment Where to Pay								
Cash/Check	Cashier Section, 2 <sup>nd</sup> Floor, IC Head Office, 1071 United Nations Avenue, Manila	2:00 pm						
Online Deposit	Through the Link.BizPortal using the following link:	4:00 pm						

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1. <u>https://www.lbp-eservices.com/egps/portal/index.jsp</u>
2. <u>https://epaymentportal.landbank.com/pay1.php?cod</u> <u>e=OW1NMEdmdm1UbHE1OHk5ZXlqZXBTLzJtOE</u> <u>FpS1IPUmVqdkdEVTIncVFraz0</u>
User Guide for Expanded Transaction Types is available at the IC Website via this link;
https://www.insurance.gov.ph/wp- content/uploads/2020/08/ePayment-System-User-Guide- Version-July-2020-FINAL.pdf

The OP shall be valid until the next business day from the date indicated in the OP.

If mode of payment is done via <u>cash/check</u>, the company representative shall proceed to the Pre-Need Division to provide a copy of the IC Cashier-issued **Official Receipt** to validate the completion of filing.

If mode of payment is done via <u>online deposit</u>, the company representative shall send a screen-captured image of the Landbank-generated **Transaction or Acknowledgement Receipt** to the EIC via e-mail to validate the completion of filing.

None or late payment of the filing fee and penalty (if any) after the validity of the OP shall constitute an interest of 12% per annum until the same is fully paid.

### SECTION 5. ACCESS OF THE AUTHORIZED REPRESENTATIVE TO THE ONLINE UPLOADING PORTAL

The Online Uploading Portal shall be opened for uploading immediately upon effectivity of this CL and shall be closed at 4:00 pm of 30 April 2021.

Submission of the AS and its attachments **AFTER** 30 April 2021shall only be allowed in the said portal beginning **03 May 2021 from 9:00 am to 4:00 pm every working day.** 

### **SECTION 6. SEPARABILITY CLAUSE**

If any provision of this Circular shall be held unconstitutional or invalid, the other provisions not otherwise affected shall remain in full force and effect.

### **SECTION 7. REPEALING CLAUSE**

All circulars, rules and regulations or parts thereof, which are inconsistent with the provisions of this Circular, are deemed repealed, superseded or modified accordingly. CERTIFIED TRUE / PHOTO COPY

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### SECTION 8. EFFECTIVITY CLAUSE

This Circular shall take effect immediately.

DENNIS B. FUNA Insurance Commissioner



TRANQUILINO E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission



# **ANNUAL STATEMENT**

OF

## (NAME OF PRE-NEED COMPANY)

(Company Address)

Submitted to the

### **INSURANCE COMMISSION**

Manila, Philippines

**CERTIFIED TRUE / PHOTO COPY** 



For the Year Ended DECEMBER 31, 20XX

\_\_\_ ..\_\_\_

### ANNUAL STATEMENT for the Year Ended December 31, 20\_\_ of

### ( <u>Name of Pre-need Company</u> )

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4	3	Per Plan
		Summary of Monthly Withdrawals from the Trust Fund - Per
5	4	Trustee, Per Plan
6	5	Summary of Monthly Sales
7	6	Seriatim List of In-force Policies
8	7	List of Availing Plans for the Succeeding Year
9	8	List of Claims / Benefits Filed

#### SCHEDULES:

10 11	1 2	Investments in Trust Funds Insurance Premium Fund (IPF)
	2	Corporate Assets
12	3-	the second s
13	3-	Contraction of the second s
14	3-	
15	3-	the second s
16	3-	
17	3-	the state of the s
18	3-	
19	3-	8 Corporate Assets - Stocks
20	3-	9 Corporate Assets - Real Estate
21	3-1	10 Corporate Assets - Other Investment
22	3-1	11 Corporate Assets - Accrued Investment Income
23	3-1	12 Corporate Assets - Accounts/Notes Receivable
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26	3-1	
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28	5	Other Reserves
29	6	Planholders' Benefits Payable
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31	8	Counselors' Bond Reserves
32	9	Accounts / Notes Payable
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34	11	Accrued Expenses
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36	13	Stockholders' Equity Account
37	APPEND	DIX: Summary of Invested Assets

38 CERTIFICATION, NOTARIZED AND SEALED

Date of Filing:

Company Representative/Contact No.:\_\_\_\_\_

IC Representative/Examiner\_

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### ANNUAL STATEMENT for the Year Ended December 31, 20\_\_\_of

License Number		Date of Issue	Date of Issue						
Line of Business									
SEC Registration Number		Date of Issue							
Date of Incorporation		Commenced Business on							
Tax Identification Number		Registered Trade	Name						
Home Office Address									
Telephone Number		FAX Number							
Email Address		Website							
MEI	MBERS OF THE BOARD,	OFFICERS AND EMPLOY	EES						
Position	Name	Nationality	Terms of From						
			From	То					
Chairman									
Vice-Chairman									
Members									
	<u> </u>								
Independent Directors:									
President									
Vice-President									
Secretary									
Treasurer									
General Manager									
Actuary									
Department Heads:									
Underwriting									
Sales									
Investments									
Administration									
Claims									
Chief Accountant									
Internal Auditor									
External Auditor									
Compliance Officers:									
		Plan T	Type / Trust Acco	ount					
Trustees:									
CERTIFIED TRUE / PHOTO COPY									
la									
TRANCULINOE ESPEJON									
IC Supervising Administrative Officer Administrative Division Insurance Commission									
Number of Salaried Officers		Number of Salari	ed Employees						
Number of Branches		Number of Sales							
Branch Office Address:		Name of Manage	er:						

ANNUAL STATEMENT for the Year Ended December 31, 20\_\_\_ of

#### Annex A to Company Profile

				Nu	mber Of				
Provinces by Region		Branches		Extension	Service	Satellite	Salaried	Salaried	Pre-Need Sale
	Domestic	Foreign	Total	Office	Office	Office	Officers	Employees	Counselors
NCR	•		•		•	•	-	•	•
1. Manila			-						
2. Mandaluyong			-						
3. Marikina			-						
4. Pasig			-						
5. San Juan			-						
6. Quezon City			-						
7. Caloocan City			-						
8. Malabon			-						
9. Navotas			-						
10. Valenzuela			-						
11. Las Pinas			-						
12. Makati			-						
13. Muntinlupa			-						
14. Pasay City			-						
15. Taguig City			-						
16. Pateros			-						
17. Parañaque			-						
CAR		-	-	-		-		-	-
1. Abra			-						
2. Apayao			-						
3. Benguet			-						
4. Baguio City			-						
5. Ifugao			-						
6. Kalinga			-						
7. Mountain Province			-					1	
Region I	<u> </u>	-	-	-	-	-	-	-	-
1. Ilocos Norte									
2. Ilocos Sur			-						

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3. La Union			-						
4. Pangasinan			-						
5. Dagupan City									
Region II	-		Service and				-	-	
1. Batanes									
2. Cagayan			-						
3. Isabela			-						
4. Nueva Vizcaya			-						
5. Quirino			-						
6. Santiago			-						
Region III		-	-		-		-	-	-
1. Aurora			-						
2. Bataan			-						
3. Bulacan			-						
4. Nueva Ecija			-						
5. Pampanga			-						
6. Angeles City			-						
7. Tarlac			-						
8. Zambales			-						
9. Olongapo City			-						
Region IV - A					-				
1. Batangas			-						
2. Cavite			-						
3. Laguna			-						
4. Quezon			-						
5. Lucena City			-						
6. Rizal			-						
MIMAROPA	TAN DESCRIPTION	Deriver and the second second	•	- 11				-	-
1. Marinduque			-						
2. Occidental Mindoro			-						
3. Oriental Mindoro			-						
4. Palawan			-						
5. Puerto Princesa City			-						
			-						
6. Romblon									
	_	-	-		-	-	-		
<ul> <li>Region V</li> <li>Albay</li> </ul>	-		-	-	-	-	-	-	-

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3. Camarines S								
4. Catanduane	S			-		Provent particular		
5. Masbate				-				
6. Naga City								
7. Sorsogon				-				
Region VI				Markettake T	-		and the second	
1. Aklan				-				
2. Antique				-				
3. Capiz				-				
4. Negros Occi	idental			-				
5. Bacolod City				-				
6. Guimaras				-				
7. Iloilo				-				
8. Iloilo City				-				
Region VII		-	-			•	-	
1. Bohol				-				
2. Cebu				-				
3. Cebu City				-				
4. Lapu-Lapu				-				
5. Mandaue Cit	y			-				
6. Negros Orie			7	-				
7. Siquijor				-				
Region VIII								
1. Biliran				-				
2. Eastern San	nar			-				
3. Leyte				-				
4. Tacloban Cir	tv			-				
5. Northern Sa				-				
6. Southern Le				-				
7. Samar (Wes								
8. Ormoc City	canar)			-				
Region IX		•	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·	 		 
1. Zamboanga				-		 		
Zamboondo	del Sur			-		 		 
	Children et al.			-				
3. Zamboanga								
	Sibugay			-		 		

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Reg	gion X		-0.		-	-	1. 1908	· · · · ·		-	-		-		-
1.	Bukidnon				-										
2.	Camiguin				-										
3.	Lanao del Norte				-										
4.	Iligan City				-										
5.	Misamis Occidental				-		1								
6.	Misamis Oriental				-										
7.	Cagayan de Oro City				-							_			
Reg	gion XI		-		-	- 10 - 10 -				-	-	y and state			
1.	Davao del Norte				-										
2.	Davao del Sur				-										
3.	Davao City				-										
4.	Davao Oriental				-										
5.	Compostela Valley				-										
6.	Davao Occidental				-										
Reg	gion XII				•	-				-	-		-		
1.	North Cotabato				-										
2.	Sarangani				-										
3.	South Cotabato				-										
4.	General Santos City				-										
5.	Sultan Kudarat				-										
Reg	gion XIII		-			-		-	in Complete	-	-		10. 2 <b>.</b> . 7	-	
1.	Agusan del Norte				-										
2.	Butuan City				-							1			
3.	Agusan del Sur				-										
4.	Surigao del Norte				-										
5.	Surigao del Sur				-										
6.	Dinagat Islands				-										
BA	RMM		-	Strategies (and a strategies) of	- 1995 - 1995	11. 11. 11. 11. 11. 11. 11. 11. 11. 11.		-		-	-		-	a that they are	-
1.	Basilan				-										
2.	Lanao del Sur				-										
3.	Maguindanao				-										
4.	Sulu				-										
5.	Tawi-tawi				-										
6.	Cotabato City				-										
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Note and Instruction: 1. Information provided in this table should tally with Company Info Tab



	ASSETS	Current Year	Previous Year
1.	Investments in Trust Funds (Schedule 1)		
2.	Insurance Premium Fund (Schedule 2)		
3.	Corporate Assets: - Government Securities (Schedule 3-1)		
4.	-Cash on Hand and in Banks (Schedule3-2)		
5.	-Mutual Funds/UITF (Schedule 3-3)		
6.	-Short Term Investments (Schedule 3-4)		
7.	-Corporate Bonds (Schedule 3-5)		
8.	-Mortgage Loans (Schedule 3-6)		
9.	-Planholders' Loans (Schedule 3-7)		
10.	-Stocks (Schedule 3-8)		
11.	-Real Estate (Schedule 3-9)		
12.	-Other Investment (Schedule 3-10)		
13.	-Accrued Investment Income (Schedule 3-11)		
14.	-Accounts/Notes Receivable (Schedule 3-12)		
15.	-Property and Equipment (Schedule 3-13)		
16.	-Inventories (Schedule 3-14)		
17.	-Other Assets (Schedule 3-15)		
18.	Total Assets		
	LIABILITIES		
19.	Pre-need Reserves (Exhibit 6- Seriatim List)		
20.	Insurance Premium Reserve (Schedule 4)		
21.	Other Reserves (Schedule 5)		
22.	Planholders' Benefits Payable (Schedule 6)		
23.	Planholders' Deposits (Schedule 7)		
24.	Counselors' Bond Reserves (Schedule 8)		
25.	Accounts/Notes Payable (Schedule 9)		
26.	Taxes Payabale (Schedule 10)		
27.	Accrued Expenses (Schedule 11)		
28.	Other Liabilities (Schedule 12)		
29.	Total Liabilities		
	STOCKHOLDERS' EQUITY		
30.	Paid-up Capital Stock (Schedule 12)		
31.	Additional Paid-in Capital		
32.	Deposit for Future Subscription		
33.	Special Surplus Funds:		
34.	Fluctuation/Revaluation Reserve - Trust Funds		
35.	Fluctuation/Revaluation Reserve -Corporate Assets		
36.	Retained Earnings - Trust Fund		
37.	Retained Earnings - Corporate Assets		
38.	Total Stockholders' Equity		
39.	Total Liabilities & Stockholders' Equity		
TRAN C Super A	ED TRUE / PHOTO COPY		

#### Exhibit 1 - BALANCE SHEET

ANNUAL STATEMENT for the Year Ended December 31, 20\_\_ of \_\_\_\_\_

#### Exhibit 2- INCOME STATEMENT

(Accrual Basis)		
Premiums	P	а
Increase(Decrease) in Pre-Need Reserves		b
Increase(Decrease) in Insurance Premium Reserves		C
Increase(Decrease) in Other Pre-Need Reserves		d
*Other Direct Income (ex. Processing fees, surcharges, etc.)		e
Total Direct Income (a $\pm$ b $\pm$ c $\pm$ d $\pm$ e)		f
Less: Benefit Payments (Surrenders, maturities, lapsed, terminated, etc.) P	g.1	· · · · · ·
Commissions Expenses	g.2	
*Other Direct Expenses (insurance , discount, overrides, bonus, etc.	g.3	
Total Direct Expenses (g.1 + g.2 + g.3)	9.0	a
Gain/(Loss) (f - g)		5
Plus: Interest/Income Earned from the following Trust Fund/Placements/Investments.		
(Gross of Final Taxes)		
Government Securities	i.1	
Cash on hand and in Bank	i.2	
Mutual Funds/UITF	i.2	
Short-term Investments	1.3 i.4	
Corporate Bonds	1.4 i.5	
Mortgage Loans	i.6	
Planholders" Loan	i.7	
Stocks	i.8	
Real Estte	i.9	
Other Investments (specify)	i.9	i
Total Gain/(Loss) & Interest Earned (h + i)	1.10	'
Other Income/Expense items:		J
Depreciation - Building	k.1	
Investment Expenses	k.2	
*Other Income/Other Expenses (ex. Forex gain/(loss), etc.)	k.3	k
Sub-Total (j + k)		
Less: Taxes other than Income tax		
Taxes on Real Estate	m.1	
Documentary Stamp Tax	m.2	
Corporate Residence Certificate	m.3	
Assessment, Licenses & Fees	m.4	
VAT & Fringe Benefit Tax	m.5	
Final Taxes	m.6	m
Other General Expenses		
Salaries & Wages	n.1	
Allowance to Officers	n.2	
Allowance to Employees	n.3	
Pension, Retirement, & Other		
similar benefits (SSS, Medicare, etc.)	n.4	
Rent, Light & Water	n.5	
Other General Expenses	n.6	n
Sub-Total (taxes & general expenses) (m + n)		0
Net Income/(Loss) before Income Tax		р
Less: Income Tax		q
Net Income/(Loss) for the year (p - q)		r

\*Please specify on separated sheet

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EXHI	BIT 3 - SUMMARY OF	MONTHLY DEPOSITS TO TH	E TRUST FUND (Per Plan	ו)	
Month	Amount Collected	Amount of Required Deposit	Amount of Actual Deposit	Rem	
(1)	(2)	(3)	(4)	(5	<b>)</b>
Memorial Plan:					
January					
February					
March		<ul> <li>Demonstration (Sec. 1) and the second se</li></ul>			
April					
May					
June					
July					
August					
September					
October					
November					
December					
and the scheme					
Education Plan:					
January					
February					
March					
April		an and an an an and an			
May					
June July		and the second			
August					
September		and a second			
October		and a second			
November					
December					
Pension Plan:					
January					
February					
March					
April					
May					
June					
July		and a second s			
August					
September					
October					
November					
December					





			F MONTHLY WITHDRA	TROUTING INTE I	Recent oneo (r	er r rany	
Month	Total Amount of	Total Amount of	1	Breakdown / Purpose	e of Withdrawal		Description for "Others
Monun	Benefits Paid	Withdrawal	Planholders' Benefits	Trustee's Fees	Taxes	Others	Description for Others
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
emorial Plan:							
January							
February							
March							
April							
May							
June							
July			1				
August							
September							
October							
November							
December							
-				Sector des la	· · · · · · · · · · · · · · · · · · ·		
-							
ducation Plan:							
January							
February							
March							
April							
May							
June							
July							
August							
September							
October							
November							
December	the first state of the state						
-				·····			(
-							
ension Plan:							
January							
February							
March							
April							
May							
June							
July							
August							
September							
October							
November							
December			**************************************				



E:	xhibit 5 - SUMMA	RY OF MONTHLY SA	ALES FOR THE YEA	AR
Plan Type	Date	Plans/Contracts Amount	Amount	Balance of Unsold Plans/Contracts
(1)	Approved (2)	Approved (3)	Sold (4)	(5)
	(2)	(3)	(4)	(5)
Memorial Plan:				
January				
February		and the second sec		
March				
April				
Мау				
June				
July				
August				
September		terrene and the set of the		
October				
November		Antonia da a su mara a		
December				
Sub-total				
Education Plan:			Nella Protoco de La Contección	an E
January				
February		A Commencement of a second scale of the second		
March		a second and the second s		
April		<ul> <li>A state of the second se</li></ul>		
May				
June				
July				
August				
September		· · · · · · · · · · · · · · · · · · ·		
October		a second s		
November				
December				
		and a second and second a second s		
Sub-total			and a state of the	
Pension Plan:		and the second sec		
January				
February				
March				
April				
May		and the second second second second second second		
June				
July				
August				
September				
October				
November				
December				
Sub-total				
GRAND TOTAL				

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								Exhibi	t 6 - SERIA	TIM LIST O	F IN-FORCE PC	LICIES						
H		Planholders	Birth	Inception	Plan	Maturity	Maturity	1	Contract	Payment	Total		JRANCE		BENE	FITE		Rese
	Contract Number							Status			Installments	Total	Total Insurance	Amount of		Last	Last Amount	as
		Name	Date	Date	Туре	Value	Date		Price	Mode	Made	Insurance Premium	Premium Paid	Benefits Paid	Balance	Availment Date	Availed	12/31
H	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(1
	EMORIAL PLAN:																	
A	Old Basket (SEC):																	
	2							1										
	3										a second contents							
	5															and the second sec		
	Sub-total										-							
в	New Basket (IC):																	
_	1																	
	2 3																	
	4																	
-	5 Sub-total												-	-				
	Total																	
E	1																·	
	DUCATION PLAN:																+	
A	Old Basket (SEC):																	
	2																	
	3 4																	
	5																	
	Sub-total										-	•	•				· · · · · · ·	
в	New Basket (IC):																	
-	1 2																	
	3							1										
	4 5																	
	Sub-total										-		-	-				
	Total								•									
			÷					-					1.11					
	DUCATION PLAN:																	
A	Old Basket (SEC):																	
	2																	
	3																	
	5								N 1 3				-					
-	Sub-total											-			-			
в	New Basket (IC):																	
	1																	
	3																	
ното	COPY																	
	Sub-total												-	•			-	
$\leq \mid$	Total													-				
ESPE	Total ON fficerGrand Total																	
rative O	ficerGrand Total														-			

EXHIBIT 7	- LIST OF AVAILING P	LANS FU	OR THE SUCCE	EDING YEAR	
Planholders' Name	Contract / Policy	Plan	Term O	f Contract	Benefit
Fiannoiders Name	Number	Туре	Issue Date	Maturity Date	Payable
(1)	(2)	(3)	(4)	(5)	(6)
emorial Plan:					
Sub-total				-	
lucation Plan:					
Sub-total					
ension Plan:				-	
Sub-total					
GRAND TOTAL					



			ANNUAL STATE	MENT for t	he Year Ended De	ecember 31, 20	of					
					Exhibit 8 - C	LAIMS / BENEFI	S FILED					
Date of	Contract	Planholders'	Inception	Plan	Maturity	Maturity	Contract	Total	Total Insurance	Amount of	Date	Status of
Claim	Number	Name	Date	Туре	Value	Date	Price	Installments Made	Premium Paid	Benefits Paid	Paid	Claim
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
morial Plan:												
								and and the second seco				
								a and a second sec		and and all an it is the second second		
)								a de la companya de la				
			· · · · · · · · · · · · · · · · · · ·									
Sub-total												
ucation Plan:												
	and the second sec											
									La caracterizza de la constante e			
				-								
)												
Sub-total									i ren stren to de las			
nsion Plan:												
	CERTIFIED TRU	JE / PHOTO COPY										
	Λ											
)	TRANGUL											
Sub-total	IC Supervising Administra Insurance	IO'E. ESPEJON dministrative Officer ative Division Commission										
Grand Total												

Revised as of January 2015 Per CSC Resolution No. 1500088

Description							SCHEDULE 1 - IN											
Description							VALUE	OF INVESTMEN	TS						INTER	REST / DIVID	END / RENTA	L INCOME
Description								PLAN							Collected	Accrued	Accrued	Earned
			MEMORIAL	L			PENSION		1		EDUCATION	1	1					
		Old Basket (SEC)	New Basket (IC)	Total	% to Total Equity	Old Basket (SEC)	New Basket (IC)	Total	% to Total Equity	Old Basket (SEC)	New Basket (IC)	Total	% to Total Equity	GRAND TOTAL	During the Year	Previous Year	Current Year	During the Yea
(1)		(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
urities	Exh 1 (AS-TF)			-	#DIV/0!			-	#DIV/0!			-						
Time Deposits	Exh 1 (AS-TF)			-	#DIV/0!			-	#DIV/0!			-		-				
ſF	Exh 1 (AS-TF)			-	#DIV/0!			-	#DIV/0!			-		-				
tments	Exh 1 (AS-TF)			-	#DIV/0!			-	#DIV/0!			-						
	Exh 1 (AS-TF)			-	#DIV/0!				#DIV/0!									
	Exh 1 (AS-TF)			-	#DIV/0!			-	#DIV/0!					internet of successive				
IS	Exh 1 (AS-TF)	a contract the state of the			#DIV/0!				#DIV/0!			-		in the second second				
	Exh 1 (AS-TF)				#DIV/0!				#DIV/0!					ing to a second of				
Torrestor in the second	Exh 1 (AS-TF)			-	#DIV/0!	and the second second second			#DIV/0! #DIV/0!					a series from				
ent Income	Exh 1 (AS-TF) Exh 1 (AS-TF)	baser of the second			#DIV/0! #DIV/0!		· · · · · · · · · · · · · · · · · · ·		#DIV/0!									
TOTAL ASSETS					-													
es	Exh 1 (AS-TF)																	
	Exh 1 (AS-TF) Exh 1 (AS-TF)																	
TOTAL LIABILITIE			-	-		-		-			-	-		-				
TOTAL EQUITY																		

1 TRANCOLLINO E ESPEJON IC Supervisita Administrative Officer Administrative Division Insurance Commission

	ANNU	AL STATEMENT	For the Year End	led December 31, 20	013 of				
		s	CHEDULE 2 - IN	SURANCE PREMIU	M FUND (IPF)				
			VALUE OF	INVESTMENTS		INTER	REST / DIVIDEN	ID / RENTAL IN	COME
Description*			F	PLAN		Collected	Accrued	Accrued	Earned
(1)	e in - 12 h e e partecipitar	MEMORIAL (2)	PENSION (3)	EDUCATION (4)	TOTAL (5)	During the Year (6)	Previous Year (7)	Current Year (8)	During the Year (9)
(1)		(2)	(0)	(4)	(0)	(0)	(7)	(0)	(3)
Government Securities	Schedule 2-1								
Cash in Savings/Time Deposits	Schedule 2-2								
Mutual Funds/UITF	Schedule 2-3								
Short Term Investments	Schedule 2-4								
Corporate Bonds	Schedule 2-5								
Mortgage Loans	Schedule 2-6								
Planholders' Loans	Schedule 2-7								
Stocks	Schedule 2-8								
Real Estate	Schedule 2-9								1
Other Investments	Schedule 2-10								
Accrued Investment Income	Schedule 2-11								and the second s
TOTAL INVESTME	NTS							1	

TRANCULINO E ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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			DATE			ACQUISITION/BOO			PRICE			1				INTEREST		
SECURIT	Y		DATE	1	INITIAL	ACQUISITION/BOU					1		Annual	How	Collected	Accrued	Accrued	Earned
Description	Serial No.	Acquired	Issued	Maturity	Face Value	Underwriting Discount/Premium	Acquisition Cost	At Acquisition Date	At Closing/Balance Sheet Date	Book Value	Market Value	Unrealized Gain (Loss)	Coupon Rate					
1	2	3	4	5	6	7	8	9	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16+17-18
DOMESTIC ISSUES:																		
TXTN:																		
2																		
4						and a prostanting which is being					terrore a ser i con centra							
5																		
REASURY BILLS																		
1											+							
3																		
Sub-total																		
FOREIGN ISSUES:																		
2												• ····						
3																		
4																		
5 Sub-total				-														
OTALS							1		and a second second second									and the second

Λ TRANCULINOE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

	ANNU	JAL STATEM	ENT for the	Year Ended	December 3	1, 20 of					
			SCHEDULE	E 3-2 Corpor	ate Assets -	Cash on hand ar	nd in banks				
	Account/	DA	TE	Annual		BAL	ANCE		INTE	REST	
Description	Certificate		Maturity	Rate/	Term/ Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
	Number	Placement		Interest		Placement Date	Sheet Date	During the Year	Previous Year	Current Year	During the Yea
2	3	4	5	6	7	8	9	10	11	12	13
Cash in Company's Office:											
Petty Cash Fund											
Revolving Fund											
Commission Fund											
Sub-total											
Cash in Bank:											
Current Accounts:											
1 2 3											
Sub-total		• • • • • • • • • • • • • • • • • • • •									
Savings Accounts: 1 2											
3 Sub-total											
Time Deposit Accounts: 1 2 3						a. '.		7 1 2		6	
Sub-total											
GRAND TOTAL						-	-	-	-	-	-

Λ TRANCULINDE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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	ANNUAL STATEM	IENT for the Year I	Ended Decemb	per 31, 2013 of				
		SCHEDULE	3-3 - Corporat	e Assets - MUT	UAL FUNDS/ UIT	F		
	Account		Number of	Net Asset Valu	ue per Unit/Share			
Description	/Certificate Number	Acquisition Date	Units/Shares Held	At Acquisition Date	At Closing/Balance Sheet Date	Acquisition Cost	Market Value	Unrealized Gain (Loss)
1	2	3	4	5	6	7	8	9
Mutual Funds								
1								
2								
3								
4								
Sub-total								
				· · · · · · · · · · · · · · · · · · ·				
Unit Investment Trust F	unds							
1								
2 3		and and a second s						
4					and the second second			
5								
Sub-total								
Others								
1								
2								
3								
4								
5								
Sub-total								
	GRAND TOTALS							

TRANCULINO E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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	ANN	UAL STATEMEN	T for the Year	Ended Decem	ber 31, 20 o	f					
		SC	HEDULE 3-4 -	Corporate Ass	ets - SHORT T		NTS				
		DAT	ſE			BAL	ANCE		INTE	REST	
Investment Type/Description	Account/ Certificate Number	Acquistion/	Maturity	Annual Rate/Interest	Term/Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
	Number	Placement	Maturity	Trate/Interest		Placement Date	Sheet Date	During the Year	Previous Year	Current Year	During the Year
1	2	1	3	2	4	3	5	4	6	5	7
1 Special Deposit Accounts (BSP)											
2			· · · · · · · · · · · · · · · · · · ·							energies a series of the series of the	
3											
4											
5											
6											
7											
TOTAL											

TRANOULINOE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

SECURITY	-							orporate rice.	ets - CORPORA	C DOMDO								
OLCONTI		DATE		INITIAL AG	CQUISITION/BC	OKING VALUE	PF	RICE	CURRENT/CL	OSING VALUE						INTEREST		
					Underwriting		At	At Closing/				alized	Annual	How	Collected	Accrued	Accrued	Earned
Description Serial No	Acquired	Issued	Maturity	Face Value	Discount/ Premium	Acquisition Cost	Acquisition Date	Balance Sheet Date	Book Value	Market Value	Gain	(LOSS)	Coupon Rate	Paid*	During the Year	Previous Year	Current Year	During the Yea
1 2	3	4	5	6	7	8	9	10	11	12	13= (	12-11)	14	15	16	17	18	19 = (16+17-18

.

TRANGUILINO'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

			ANNUA	L STATEMEN	T for the `	Year Ende	d December	31, 20 of								
					SCHEDU	JLE 3-6 C	orporate Ass	ets - MORTGAGE	LOANS							
Name and	Description of Property	Record of Mortgage:	Market Value	Sound Value	Term	of Loan	Amount of		PRIN	CIPAL				INTERES	т	
Address of Mortgagor (1)	(TCT/CCT No.; Location; Area) (2)	(Registry No.; Entry No.; City/Prov.; Amt of Notation) (3)	of Land Mortgaged (4)	of Building (5)	Date Given (6)	Date Due (7)	Original Loan (8)	Amount Unpaid Dec.31 Prev Yr (9)	Loaned During the Year (10)		Amount Unpaid Dec.31 Current Yr (12)	Annual Rate (13)	Collected During the Year (14)	Accrued Previous Year (15)	Accrued Current Year (16)	Earned During the Y (17)
	(-/	(-7		(-7	(-)		(-/	(-7	()		(.=)	()		(10)	()	()
	where the second second states in the second s															
														and the state of		
							a de la construcción de la constru									
	· · · · · · · · · · · · · · · · · · ·										A series of the		and the second s			
		man - Charles - Street Refer to Street Advances - Street and States - 1999														
													te se su a se			
													and an and a second second second			
		and the second second second														
		and the second						Contractor and a second								
	<ul> <li>And the second se</li></ul>															
		TOTALS														

TRANSDILINO E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

	Term o	of Loan	Contract	Amount	Amount of		PRIN	CIPAL				INTERES	ST	
olicyholder / Borrower (1)	Date of Loan (2)	Date of Maturity (3)	Number (4)	of Insurance (5)	Original Loan (6)	Amount Unpaid Dec.31 Prev Yr (7)	Loaned During the Year (8)	Paid During the Year (9)	Amount Unpaid Dec.31 Current Yr (10)	Annual Rate (11)	Collected During the Year (12)	Accrued Previous Year (13)	Accrued Current Year (14)	Earned During the Ye (15)
	<ul> <li>Strangeneric Contemporation</li> </ul>													
		· · · · · · · · · · · · · · · ·												
									• · · · · · · · · · · · · · · · · · · ·					
CERTIFIED T														
	OE / PHOTO	COPY												
TRANCOUL IC Supervising Adminis Insurand	Administrative O trative Division commission	ON												

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							SCHED	OULE 3-8 Corpor	rate Assets -	STOCKS							
	Certificate	Date	How	Number	Co	sts/Price	Acquisition	Book Value at						DIVIDEND			
DESCRIPTION	Number 3	Acquired	Acquired	of Shares	At Acquisition Date	At Closing/Balance Sheet Date	Cost (including transaction fees)	Book Value at Balance Sheet Date	Market Value	Unrealized Gain (Loss) 12 = (11-10)	Rate for the la 2017 (10)	ast 3 years precent 2018 (11)	eding Current Year 2019 (12)	Collected During the Year (13)	Accrued Previous Year (14)	Accrued Current Year (15)	Earned During the Yea (16)
ommon Shares:	3	4	5	0	1	0	5	10		12 - (11-10)	(10)	(11)	(12)	(13)	(14)	(10)	(10)
Sillion Sildres.																	
2																	
3																	
4 5								1 4									
6																	
7																	
8																	
9	÷																
······································																	
And a second sec																	
							1										
						TOTALS											

Λ TRANCOLLIND'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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				SCHEDUL		ember 31, 20_ orate Assets -		ATE		_			
	Area & Description	Name of	Date	Estimated	Actual	Accumulated	Net Book	Market		RENTAL	INCOME	1	
TCT/CCT Number.; City/Province (1)	of Lot / Building (2)	Vendor (3)	Acquired (4)		Cost (6)	Depreciation Building (7)	Value (8)	Value per Appraisal (9)	Collected During the Year (10)	Accrued Previous Year (11)	Accrued Current Year (12)	Earned During the Year (13)	Encumbrance (if Any) (14)
ccupied by the Company:					2								
Lot													
											and a second sec		
Building											and a second to a second second		
										an den al ser de la construction d			
Sub-total													
vestment Properties													
Lot													
												And the second s	
Building											and a second sec		
the action of the second s											AND STREET, STREET, ST		
Sub-total	GRAND TOTALS			<u> </u>									for an interest

TRANCULINO'E: ESPEJON IC Supefvising Administrative Officer Administrative Division Insurance Commission

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	ANNUAL	STATEMENT	for the Ye	ar Ended Decen	nber 31, 20 of _					
		s	CHEDULE	3-10 Corporate	Assets - OTHER	INVESTMENTS	5			
	Account	Date of	Date of	Number	Acquisition	Market		INTE	REST	
Description	Number	Placement	Maturity	of	cost	Value	Collected	Accrued	Accrued	Earned
(1)	(2)	(3)	(4)	Shares / Units (5)	(6)	(7)	During the Year (8)	Previous Year (9)	Current Year (9)	During the Year (10)
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
	TOTAL			-						

Λ TRANCULINCE ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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		Collected	Accrued Inve	stment Income	Unearned Inve	estment Income	Earned
	Kind of Investment	During the Year	Current Year	Previous Year	Current Year	Previous Year	During the Year (2-3+4+5-6)
	(1)	(2)	(5)	(6)	(3)	(4)	(7)
1	Interest on Government Securities						
2	Income on Corporate Bonds			• • • • • • • • • • • • • • • • • • •			
3	Dividends on Stocks					and a constraint parts of the particular	
4	Income on Real Estate						
5	Interest on Mortgage Loans						
6	Interest on Planholders' Loans			· · · · · · · · · · · · · · · · · · ·			
7	Income on Short Term Investments						
8	Income on Other Investments			The second		a contra a sec o contra deserva	
9	Interest on Bank Deposits						
	Others:						
10							
11							
12							
	TOTAL						

TRANGUILINO E ESPEJON IC Supervisita Administrative Officer Administrative Division Insurance Commission

	ANN	UAL STATEMEN	T for the Year E	nded December 3	1, 20 of			
		SCHE	EDULE Corporat	te Assets 3-12 AC	COUNTS/ NOTES	RECEIVABLE		
	Name / Address of Debtor or Maker of Note* (1)	Date Granted / Issued (2)	Original Amount (3)	Date of Last Payment (4)	Amount Paid During the Year (5)	Balance as of 12-31-PY (7)	Balance as of 12-31-CY (8)	Remarks (Description: Nature, and Security, if any) (8)
CERTIFIED TRUE / PHOTO TRANQUILINO E. ESPEJ IC Supervising Administrative Division Insurance Commission	Accounts Receivable: Secured: 1 2 3 Unsecured: 1 Insurance Claims Receivable 2 3 Sub-total Notes Receivable: Secured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Sub-total Other Receivable:* 1 Advances to Employees 2 Advances to Agents CôprAdvances to DOSRI							
TRANSPORT	Accounts Receivable: Secured: 1 2 3 Unsecured: 1 Insurance Claims Receivable 2 3 Sub-total Notes Receivable: Secured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Unsecured: 1 2 3 Sub-total Other Receivable:* 1 Advances to Employees 2 Advances to Agents Côp Advances to DOSRI Officer Sub-total GRAND TOTALS							

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ANNUAL S			ded December 3 prporate Assets	- PROPERTY and EC		
Particulars * (1)	Date of Purchase (2)	Estimated Life (3)	AcquisitIon Cost (4)	Accumulated Depreciation (5)	Net Book Value (6)	Remarks
urniture				, , , , , , , , , , , , , , , , , , ,		
Sub-Total				1 1		
ixtures						
xtures						
					-	
Sub-Total						
ffice Equipment						
					× 1: •	
Sub-Total					-	
ransportation Equipment						
·						
Cub Tabal						
Sub-Total						
To be Itemized in detail.						



ANNUAL STATEMENT fo	r the Year Ended Dece	ember 31, 20 of		
SCHEDU	ILE Corporate assets 3	3-14 Corporate Asset	ts - INVENTORIES	
Particulars	Nature	со	ST	Remarks
(1)	of Account (2)	Previous Year (3)	Current Year (4)	(5)
<ol> <li>Caskets</li> <li>Urns</li> <li>Memorial Lots</li> <li>5</li> <li>7</li> </ol>				
TOTAL				=
*To be Itemized in detail.				

TRANSULLINDE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

ANNUAL STATEMENT for the Y SCHED		Assets - OTHER ASS	ETS	
Particulars (1)	Nature of Account (2)	Balance Previous Year (3)	Balance of Current Year (4)	Remarks (5)
Prepaid Expenses     Prepayments     Deposits     Leasehold     Leashold Improvements     O				
TOTAL				

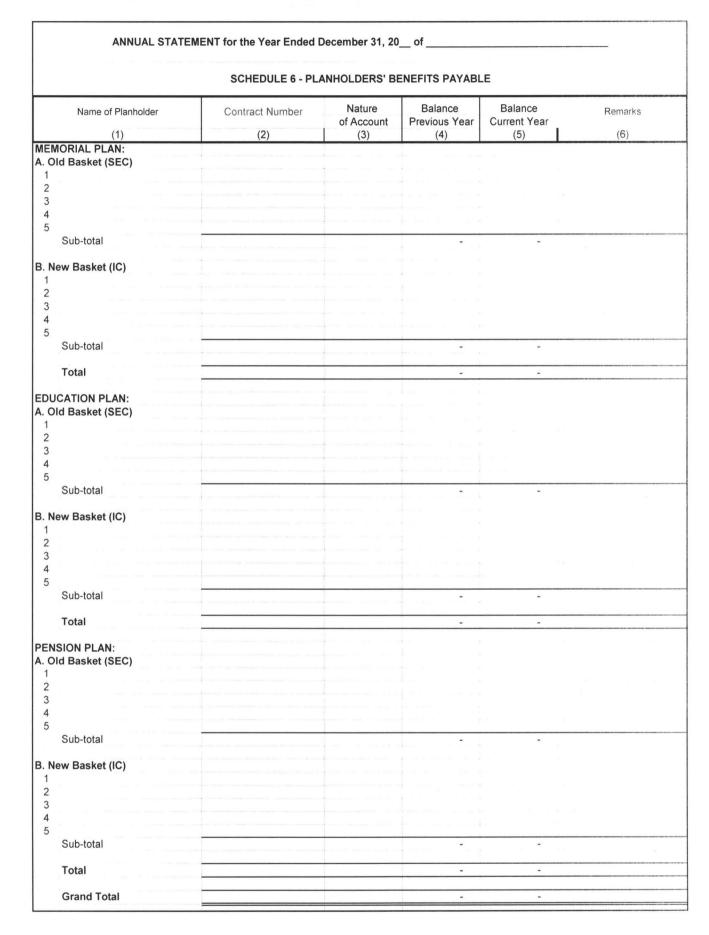


ANNUAL STATEMENT for the Year En	ded December 31, 20 of	RVE
Plan	Balance of	Balance
	Previous Year	Current Year
(1)	(2)	(3)
1 Memorial		
2 Pension		
3 Education		
TOTAL		



ANNUAL STATEMENT for the Year Ended December 31, 20 of						
S	CHEDULE 5 - OTHER RESERVES					
Plan	Balance of	Balance				
Fian	Previous Year	Current Year				
(1)	(2)	(3)				
1						
2						
3						
TOTAL						







SCHEDULE 7 - PLANHOLDERS' DEPOSITS									
Name of Planholder	Contract Number	Balance of Previous Year	Received During the Year	Refunded During the Year	Balance Current Ye 3+4-5				
(1)	(2)	(3)	(4)	(5)	(6)				
		and the factor factors of the state							
		Constant of the second s							
		the second secon							
		energia de la companya							



SCHED	ULE 8 - COUNSEI	ORS' BOND RE	SERVE		
Name of Sales Counselor	ame of Sales Counselor Previous Year During		Refunded During the Year	Balance Current Yea 2+3-4	
(1)	(2)	(3)	(4)	(5)	
1					
2					
3					
4					
5					
6	and and the second s				
7 B					
9					
0					
1					
2					
3					
4					
5					
6					
7					
8					
9					
20					
:1					
22					
.3					
24					
25					



SCHEDULE 9 - ACCOUNTS/NOTES PAYABLE								
Name of Creditor* (1)	Nature of Account (2)	Balance Previous Year (3)	Balance of Current Year (4)	Remarks (5)				
Notes Payable			· · · · · · · · · · · · · · · · · · ·	langenen ann la 201 è scéra morrar con				
1								
2								
3								
4 5	a second the second	an se i s						
6								
7	Series and the series of the s							
8								
9								
10								
Sub-total								
Accounts Payable								
	and the second product of the second s							
1 2	and the second second of the first largest and second							
3								
4								
5								
Sub-total								
GRAND TOTAL								
the provide a second second second second		Second and and and	б					
To be Itemized in detail.		and a second						



	SCHEDULE 10 - TA	XES PAYABLE		
Particulars (1)	Nature of Account (2)	Balance Previous Year (3)	Balance of Current Year (4)	Remarks (5)
Value-Added Taxes     Documentary Stamp Taxes     Income Taxes     Witholding Taxes				
TOTAL				



	SCHEDULE 11-	ACCRUED EXPEN	SES	
Name of Creditor *	Nature / Description of Account (2)	Balance Previous Year (3)	Balance of Current Year (4)	Remarks (5)
1 Accrued Insurance	(2)	(0)	(4)	(0)
2 Accrued Salaries				
3				
4				
5				
6				
7				
TOTAL				



	SCHEDULE 12 - OTHE	ER LIABILITIES		
Name of Creditor* (1)	Nature / Description of Account (2)	Balance Previous Year (3)	Balance of Current Year (4)	Remarks
a a a c a fa co caracter accourse access				
TOTAL		•		



		AN	NUAL STA	TEMENT for	the Year End	led December	31, 20 of					
					SCHEDU	E 13 - STOCK	HOLDERS' E	QUITY				
		Au	uthorized C	apital Stock:	Number of	Shares	;	Amount - P				
		Number	Par Value	Subscribed	Percentage	Subscription	Paid-up Ca	apital Stock	Additional	Deposit for	Dividends Paid	Dividends Payable
Name of Stockholder	Citizenship		per Share		2	Receivable	Common	Preferred	Paid-in Capital	Future Subscription	During the Year	Current Year
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
1 2 3 4 5 6 7 8 9 10 11 12 13												
14 15 Total							- 120 110 40 50 500					

Λ TRANGUILIND'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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						1110110 41105	000000175	
		PENSION	LIFE/MEMORIAL	EDUCATIONAL	TOTAL TRUST FUND	INSURANCE PREMIUM FUND	CORPORATE ASSETS	GRAND TOTAL
	1. BONDS							
	A. GOVERNMENT				a and a second sec			and the second
	A.1 FX Rate T- Notes							
	A.2 Floating Rate T-Notes							
	A.3 Retail T- Bonds							
	A.4 ST Denom Bonds (ERAP)							
	A.5 Agrarian Reform Bonds/Landbank							
	A.6 Philippine Issued Eurobonds (P, \$)							
	B. PRIVATE BONDS							
	C. FOREIGN BONDS							
	2. STOCKS							
	3 . REAL ESTATE							
	4 . PURCHASE MONEY MORTGAGES							
	5 . MORTGAGE LOANS ON REAL ESTATE							
	6 . COLLATERAL LOANS							
	7 . GUARANTEED LOANS							
	8. OTHER LOANS							
	8.1 Car Loans							
	8.2 Chattel							
	8.3 Other Loans							
	9. SHORT-TERM INVESTMENTS						an an tao an	
	9.1 Short-Term Government Bonds							
	9.1.1 Treasury Bills							
	9.2 Commercial Papers							
	9.3 Promissory Note							
	9.4 Fixed Income							
	9.5 Repurchase Agreement							
	10. OTHER INVESTMENTS							
	10.1 Contract Receivables							
	10.2 Proprietary Shares							
	10.3 Preferred Shares							
IFIED TRUE / PHOTO COPY	10.4 Long-Term Commercial Papers							
Rome	10.5 Real Estate Investment Trust (REIT)							
RANOULINO'E. ESPEJON	10.6 Tier 2 Notes							
RANGULINO E. ESPEJON upervising Administrative Officer Administrative Division Insurance Commission	10.7 Service Assets							
Insurance Commission	11 . FIXED DEPOSITS				104 16 100 ACK 16 1	in a star a	8100 B R	
	11.1 Super/Special Savings							
	11.2 Time Deposits							
	TOTAL INVESTMENTS							

SUMMARY OF INVESTED ASSETS							
	PENSION	LIFE/MEMORIAL	EDUCATIONAL	TOTAL TRUST FUND	INSURANCE PREMIUM FUND	CORPORATE ASSETS	GRAND TOTAL
1.BONDS							
A. GOVERNMENT	CAT NEWSRICE STREET, N. 19				and a set of the set		
A.1 FX Rate T- Notes							
A.2 Floating Rate T-Notes							
A.3 Retail T- Bonds							
A.4 ST Denom Bonds (ERAP)							
A.5 Agrarian Reform Bonds/Landbank							
A.6 Philippine Issued Eurobonds (P, \$)							
B. PRIVATE BONDS							
C. FOREIGN BONDS							
2. STOCKS			n a de la altre anno 1931 - 1935 ann a				
3 . REAL ESTATE							
4 . PURCHASE MONEY MORTGAGES							
5 . MORTGAGE LOANS ON REAL ESTATE							
6 . COLLATERAL LOANS							
7 . GUARANTEED LOANS							
8. OTHER LOANS							
8.1 Car Loans							
8.2 Chattel							
8.3 Other Loans							
9. SHORT-TERM INVESTMENTS						and the second se	
9.1 Short-Term Government Bonds							
9.1.1 Treasury Bills							
9.2 Commercial Papers							
9.3 Promissory Note							
9.4 Fixed Income							
9.5 Repurchase Agreement							
10. OTHER INVESTMENTS							
10.1 Contract Receivables							
10.2 Proprietary Shares							
10.3 Preferred Shares							
10.4 Long-Term Commercial Papers							
10.5 Real Estate Investment Trust (REIT)							
10.6 Tier 2 Notes							
10.7 Service Assets							
11 . FIXED DEPOSITS							
11.1 Super/Special Savings							
11.2 Time Deposits							
TOTAL INVESTMENTS							

Λ

TRANCULINOE. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

### ANNUAL STATEMENT for the Year Ended December 31, 20\_\_of

	} s.s.	
	, President;	, Vice President;
	, Actuary;	, Treasurer,
and	, Chie	f Accountant of the company, being
duly sworn, each for himself of	leposes and says that they are the herein desc	ribed officers of the said company.

and that on the 31st day of December last, all the herein described assets were the absolute properties of the said company, free and clear from any liens or claims thereon, except as therein stated, and that the foregoing statement, with the schedules and explanations therein contained, annexed or referred to are a full and correct statement of all the Assets, Liabilities, Income and Expenses and of the condition and affairs of the said company on the said thirty-first day of December last, and for the year ended on that date, according to the best of their information, knowledge and belief, respectively.

, President
, Vice President
, Actuary
, Treasurer
 , Chief Accountant

Affix Documentary Stamps		Corporate Residence Certificate No Issued at on Additional Residence Certificate No issued at on
Subscribed and	sworn to before me this day	/ of <i>Notary Public</i> My commission expires on December 31,
Doc. No Page No Book No Series of		

CERTIFIED TRUE / PHOTO COPY TRANCULINOCE. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

# ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 20 of Name of Pre-need Company ) ( (TYPE OF PLAN)

**Table of Contents** 

### Pages

2

#### 1 **COMPANY INFORMATION**

### EXHIBITS:

- 1 Balance Sheet
- 3 2 Income Statement
- 3 List of Trustees 4
- 5 4 Summary of Monthly Deposits to the Trust Fund - Per Trustee
- 6 5 Summary of Monthly Withdrawals from the Trust Fund - Per Trustee

#### SCHEDULES:

1		
7	1	Government Securities
8	2	Cash on Hand and in Banks
9	3	Mutual Funds/UITF
10	4	Short Term Investments
11	5	Corporate Bonds
12	6	Mortgage Loans
13	7	Planholders' Loans
14	8	Stocks
15	9	Real Estate
16	10	Other Investment
17	11	Accrued Investment Income
18	12	Other Assets
19	13	Accrued Trust Fees
20	14	Accrued Taxes
21	15	Other Liabilities
22	16	Retained Earnings
23	CERT	IFICATION, NOTARIZED AND SEALED
Data	of Filin	
		g:
		nmber:
		totivo/Examinary
	oresen Receiv	tative/Examiner:
Date	receiv	eu



Company Logo
ANNUAL STATEMENT
OF
TRUST FUND
(NAME OF PRE-NEED COMPANY) (Company Address)
Submitted to the
INSURANCE COMMISSION Manila, Philippines
For the Year Ended DECEMBER 31, 20XX
CERTIFIED TRUE / PHOTO COPY TRANCULINOTE ESPEJON IC Supervising Administrative Division Insurance Commission (TYPE OF PLAN)

PRE-NEED

# ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20\_\_\_of

# (TYPE OF PLAN)

License Number		Date of Issue				
SEC Registration Number		Date of Issue	-			
Date of Incorporation		Commenced Bus	Date of Issue Commenced Business on			
Tax Identification Number						
Home Office Address		-				
Telephone Number		FAX Number				
Email Address		Website				
		Website				
MEM	BERS OF THE BOARD, O	FFICERS AND EMPLOY				
Position	Name	Nationality _	Terms of Office			
			From	То		
Chairman						
Vice-Chairman						
Members						
Independent Directors:						
President						
Vice-President						
Secretary						
Treasurer						
General Manager						
Actuary						
Department Heads:						
Underwriting						
Sales						
Investments			-			
Administration						
Claims						
Chief Accountant						
Internal Auditor		1				
External Auditor						
Compliance Officers:						
Trustees:						
CERTIFIED TRUE / PHOTO COPY						
- heron						
TRANGUILING E ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission						
Insurance Commission						
Number of Salaried Officers		Number of Salari	ied Employees			
Number of Branches		Number of Sales	Counselors			
Branch Office Address:		Name of Manag	er:			

## ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20\_\_ of

# (TYPE OF PLAN)

### **EXHIBIT 1 - BALANCE SHEET**

	ASSETS		Current Year	% to Total Equity	Previous Year	% to Total Equity
1	Government Securities (Schedule 1)					
2	Cash in Savings/Time Deposits (Schedule 2)					
3	Mutual Funds/UITF (Schedule 3)					
4	Short Term Investments (Schedule 4)					
5	Corporate Bonds (Schedule 5)					
6	Mortgage Loans (Schedule 6)					
7	Planholders' Loans (Schedule 7)					
8	Stocks (Schedule 8)					
9	Real Estate (Schedule 9)					
10	Other Investments (Schedule 10)					
11	Accrued Investment Income (Schedule 11)					
12	Other Assets (Schedule 12)					
13	Total Assets	:				
	LIABILITIES					
14	Accrued Trust Fees (Schedule 13)					
15	Accrued Taxes (Schedule 14)					
16	Other Liabilities (Schedule 15)					
17	Total Liabilities					
	FUND EQUITY					
18	Fund Balance (beginning)					
19	Additional Contribution (current year) (Exhibit 4)					
20	Withdrawals (current year) (Exhibit 5)					
21	Adjustments (prior periods) (Schedule 1-15)					
22	Fund Balance (ending)					
23	Retained Earnings (beginning)					
24	Net Income (Loss) - (curent year) (Exhibit 2)					
25	Retained Earnings (end)					
26	Net Unrealized Income (Loss) (Schedule 1-10)				-	
27	Total Fund Equity					
28	Total Liabilities & Fund Equity	;				



### ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20\_\_\_ of

\_

# (TYPE OF PLAN)

INCOME	Current Year	Previous Year	
1 Interest Income			
Special Deposit Account			
Deposits in Bank			
Governement Securities			
Other Securities and Debt Instruments			
Others (Specify)			
2 Gain on Sale of Securities			
Governement Securities			
Stocks			
Preferred Shares			
Others (Specify)			
3 Dividend Income			
Preferred Shares			
Stocks			
4 Other Income		N	
5 Total Income before Expenses			
EXPENSES			
6 Trust and Management Fee			
7 Other Expenses (Specify)	·		
8 Total Expenses			
NET INCOME BEFORE TAX			
9 Taxes (Specify)			
NET INCOME AFTER TAXES			
RETURN ON INVESTMENTS	%	%	

**EXHIBIT 2 - INCOME STATEMENT** 



ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20 of				
	(TYPI	E OF PLAN)		
	EXHIBIT 3 -	LIST OF TRUSTEES		
	Name of	Account	Trust Fund Equity	
t i turk de d	Trustee (1)	Number (2)	(3)	
1				
2 3				
4				
5				
6				
7 8				
9				
10				
		TOTALS		



	ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20 of								
	(TYPE OF PLAN)								
EXHIBIT 4 - SUMMARY OF MONTHLY DEPOSITS TO THE TRUST FUND (Per Trustee)									
Name of Trustee Bank	Month	Amount of Required Deposit	Amount of Actual Deposit	Remarks					
	(1)	(2)	(3)	(4)					
	January February March April May June								
	July August September								
	October November December								
т	OTALS								



ANNUAL	STATEMENT of Trus	st Fund for the Year End	led December 31, 20	of		
		(Т	YPE OF PLAN)			
	EXHIBIT 5 - SUM	MARY OF MONTHLY W	ITHDRAWALS FROM	THE TRUST FUND	DS (Per Trustee)	
Manuth	Total Amount of		Breakdown / Purpos	se of Withdrawal		
Month	Withdrawal	Planholders' Benefits	Trustee's Fees	Taxes	Others	Description for "Others"
(2)	(3)	(4)	(5)	(6)	(7)	(8)
January						
February						
March						
April						
May						
June						
July						
August					с <u>х</u>	
September		-				
October						
November						
December						
TOTALS						

TRANGULINO'E ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

					A	NNUAL STAT	EMENT of Trus	t Funds for th	e Year Ende	d December	31, 20 of								
									(TY	PE OF PLA	N)								
								S	CHEDULE 1	- Governmen	t Securities								
	SECURITY	,	1	DATE		INITIAL ACC	UISITION/BOO			ICE							INTEREST		
NAME OF							Underwriting		At	At Closing/			Unrealized	Annual	How	Collected	Accrued	Accrued	Earned
TRUSTEE BANK	Description	Serial No.	Acquired	Issued	Maturity	Face Value	Discount/ Premium	Acquisition Cost	Acquisition Date	Balance Sheet Date	Book Value	Market Value	Gain (Loss)	Coupon Rate	Paid*	During the Year	Previous Year		
1	2 DOMESTIC ISSUES:		3	4	5	6	7	8	9	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16+17-1
	FXTN: 1 2 3 4 5 TREASURY BILLS 1 2 3 Sub-total FOREIGN ISSUES: 1 2 3 4 5 Sub-total TOTALS																		

Λ TRANSUILIND'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

					(TYP	E OF PL	_AN)					
				SCHED	ULE 2 - C	ash on ha	ind and in banks					
Name of		Account/	DAT	E	Annual		BAL	ANCE		INTE	REST	
Trustee Bank	Description	Certificate Number	Acquistion/ Placement	Maturity	Rate/ Interest	Term/ Period	At Acquisition/ Placement Date	At Closing/ Balance Sheet Date	Collected During the Year	Accrued Previous Year	Accrued Current Year	Earned During the Ye
1	2	3	4	5	6	7	8	9	10	11	12	13
	Deposit in Own Bank											
	Current Accounts:											
	1						and a second	the second of the second s				
	2							and a second sec				
	3											
	Sub-total											
	Savings Accounts:											
	1							and the second second second second				
	2											-
	3											
	Sub-total											
	Time Deposit Accounts:											
	2						and the second					
	3											
	Sub-total											
	Total Deposits in Own Bank											
	Deposit in Other Banks		• · · · · · · · · · · · · · · · · · · ·		• • • • • • • • • • • • • • • • • • • •					_	_	
	Current Accounts:											
	1											
	2											
	3											
	Sub-total											
	Savings Accounts:											
	1											
	2											
	3											
	Sub-total											
	Time Deposit Accounts:											
	1 2											
	3											
	3 Sub-total											
	Total Deposits in Other Banks						-	-	-	-	-	-
	GRAND TOTAL						-	-	-	-	-	-

TRANCULINOE ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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				(TYPE	OF PLAN)				
			S	CHEDULE 3 -	Mutual Funds	/ UITF			
Name of		Account		Number of	Net Asset Valu	ue per Unit/Share			
Trustee Bank	Description	/Certificate Number	Acquisition Date	Units/Shares Held	At Acquisition Date	At Closing/ Balance Sheet Date	Acquisition Cost	Market Value	Unrealized Gair (Loss)
1	2	3	4	5	6	7	8	9	10
	Mutual Funds								
	1								
	2								
	3								
	4 5							energies and the second state of the second state	
	Sub-total		• • • • • • • • • • • • • • • • • • • •						-
	Unit Investment 1	Trust Funds	-						
	1 2								
	3								
	4								
	5								
	Sub-total								
	<u>Others</u>								
	1								
	2								
	3								
	4								
	5								
	Sub-total								

TRANCULINO'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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		ANNUAL ST	ATEMENT of Trus	t Funds for the Y	ear Ended Decembe	er 31, 20 of						
					(TYPE OF PL	.AN)						
				SCHE	DULE 4 - Short Terr	m Investments						
Name of			DA	TE			BAL	ANCE		INTE	REST	
Trustee	Investment Type/Description	Account/Certificate	Acquistion/	Maturity	Annual Rate/Interest	Term/Period	At Acquisition/	At Closing/ Balance	Collected	Accrued	Accrued	Earned
Bank		Humbon	Placement	waturity			Placement Date	Sheet Date	During the Year	Previous Year	Current Year	During the Yea
1	2	3	4	5	6	7	8	9	10	11	12	13
	1 Special Deposit Accounts (BSF	2)										-
	2											
	3											
	4											
	5											
	6											
	7											
	TOTAL											

TRANGULINOE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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						ANNUAL ST	ATEMENT of Tr	ust Funds for the	Year Ended	December 31,	20 of								
									(TYP	E OF PLAN)									
								\$	SCHEDULE	5 - Corporate I	Bonds								
	SECURIT	Y		DATE		INITIAL A	CQUISITION/BO	OOKING VALUE	P	RICE	CURRENT/CL	OSING VALUE					INTEREST		
TRUSTEE							Underwriting		At	At Closing/			Unrealized	Annual	How	Collected	Accrued	Accrued	Earned
BANK	Description	Serial No.	Acquired	Issued	Maturity	Face Value	Discount/ Premium	Acquisition Cost	Acquisition Date	Balance Sheet Date	Book Value	Market Value	Gain (Loss)	Coupon Rate	Paid*	During the Year	Previous Year	Current Year	During the Yea
1	2		3	4	5	6	7	8	9	10	11	12	13= (12-11)	14	15	16	17	18	19 = (16+17-18
т	TOTALS						X,							• • • • • • • • • • • • •	-	• • • • • • • • • • • •			enere en en en en en en enere e en en en en en en

TRANCULINOE: ESPEJON IC Supervising Administrative Officer Administrative Officer Insurance Commission

							٦)	TYPE OF PL	_AN)								
							SCHED	ULE 6 - Morto	jage loans								
e of	Name and	Description of Property	Record of Mortgage:	Market Value	Sound Value	Term o	of Loan	Amount of		PRINC	CIPAL				INTERES	т	
iee Ik	Address of Mortgagor (1)	(TCT/CCT No.; Location; Area) (2)	(Registry No.; Entry No.; City/Prov.; Amt of Notation) (3)	of Land Mortgaged (4)	of Building (5)	Date Given (6)	Date Due (7)	Original Loan (8)	Amount Unpaid Dec.31 Prev Yr (9)	Loaned During the Year (10)		Amount Unpaid Dec.31 Current Yr (12)	Annual Rate (13)	Collected During the Year (14)	Accrued Previous Year (15)	Accrued Current Year (16)	Earned During the Yea (17)
-	1	(2)	(0)	(*)	(0)	(0)	(1)	(0)	(0)	(10)	(11)	(12)	(10)	(14)	(10)	(10)	(17)
	2																
	3																
	5																
ii Baar	6																
1	/ B																
	9																
1	0																
1	2														1		
1	3	All and the second seco															
1	4 5								· · · · · · · · · · · · · · · · · · ·								
	6															errer etc. 1 marrie 4	
1	7																
	8 9															diseren a ser a ser al A	
2	20													A second second at the second se		An owner of the second second	

Λ TRANGULINDE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

						(TYPE (	OF PLAN)							
						SCHEDULE 7 - P	lanholders' Loa	ins						
	Term	of Loan	Contract	Amount	Amount of		PRING	CIPAL				INTERE	ST	
Policyholder / Borrower (1)	Date of Loan (2)	Date of Maturity (3)	Number (4)	of Insurance (5)	Original Loan (6)	Amount Unpaid Dec.31 Prev Yr (7)	Loaned During the Year (8)		Amount Unpaid Dec.31 Current Yr (10)	Annual Rate (11)	Collected During the Year (12)	Accrued Previous Year (13)	Accrued Current Year (14)	Earned During the Ye (15)
1														
2												· · · · · · · · ·		
3														
5												ter per l'antitioner per dellare d'antitation		
6														
7														
8 9									And the second second second second					
10														
11														
12														
13														
14 15														
16														
17														
18														
19														
20														
21 22														
23														
24														
25														



				AN	NUAL STATE	MENT of Tru	ust Funds for th	e Year Ended	I December 31	, 20 of							
								(TYP	E OF PLAN	)							
								SCHED	ULE 8 - Stock	S							
DESCRIPTION	Certificate Number		How Acquired	Number of Shares	At Acquisition	Balance	transaction	Book Value at Balance Sheet Date	Market Value	Unrealized Gain (Loss)	Rate for the	last 3 years precee	ding Current Year	DIVIDEND Collected	Accrued	Accrued	Earned
2	3	4	5	6	Date 7	Sheet Date 8	fees) 9	10	11	12 = (11-10)	2010 (10)	2011 (11)	2012 (12)	During the Year (13)	Previous Year (14)	Current Year (15)	During the Year (16)
1 2 3 4 5 6 7 8 9 10																	
Indicate how stocks	were acqui	red, i.e., w	hether pur	chased or a		OTALS											

TRANCULINO'E: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

						(TYPE OF								
Name of		Area & Description	Name of	Date	Estimated	CHEDULE 9 - Accumulated	Real Estate	Market	Recorded		RENTAL	INCOME		
	CT/CCT Number.; City/Provinc		Vendor 4	Acquired 5		Depreciation Building 8	Value 9	Value per Appraisal 10	Value	Collected During the Year 12	Accrued	Accrued Current Year 14	Earned During the Year 15	Encumbranc (if Any) 16
	Occupied by the Company: Lot													
	Building													
	Sub-total Investment Properties									_				
	Lot													
	Building													
	Sub-total	GRAND TOTALS								-			e a e es	

TRANGUILINO'E ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

				ANNUAL	STATEMEN	IT of Trust Fur	nds for the Yea	r Ended Decembe	er 31, 20 of _									
								(TYPE OF PL	AN)									
							SCHE	DULE 10 - Other In	nvestments									
ame of			Date	Date of	Date of		Value	per Share	Acquisition						INTER	EST		
rustee Bank	Description	Certificate No./ Serial No.	Acquired	Placement	Maturity	Number of Shares/Units	the second s	At Closing/Balance Sheet Date	Cost (including transaction fees)	Book Value at Balance Sheet Date	Market Value	Unrealized Gain (Loss)	Annual Rate	How Paid *	Collected During the Year	Accrued	Accrued Current Year	Earned During the Ye
1	2	3	4	5	6	7	8	9	10	11	12	13 = (12-11)	14	15	16	17	18	19
	Preferred Shares:																	
	1																	
	2																	
	3																	
	Real Estate Investment Trust:																	
	1																	
	2								-						1 			
	3								-									
	Tier 2 Notes:																	
	1																	
	;2																	
	3																	
	Service Assets:																	
	1																	
	2																	
	3																	
		TOTAL																

TRANGULINOE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

	ANNUAL STATEMENT of Trust Fun	ds for the Year	Ended December	31, 20 of			
			(TYPE OF PLA	N)			
		SCHEDULE	11- Accrued Inve	stment Income			
Name of		Collected	Accrued Inve	stment Income	Unearned Inve	estment Income	Earned
Trustee Bank	Kind of Investment	During the Year	Current Year	Previous Year	Current Year	Previous Year	During the Year (2-3+4+5-6)
1	2	3	4	5	6	7	8
1	<ol> <li>Interest on Government Securities</li> <li>Income on Corporate Bonds</li> <li>Dividends on Stocks</li> <li>Income on Real Estate</li> <li>Interest on Mortgage Loans</li> <li>Interest on Planholders' Loans</li> <li>Income on Short Term Investments</li> <li>Income on Other Investments</li> <li>Interest on Bank Deposits</li> <li>Others:</li> </ol>						
1	2 TOTAL						

TRANCULINOE: ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commussion

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			(TYPE OF F	PLAN)		
			SCHEDULE 12 - OT	HER ASSETS		
Name of Trustee	Particul	ars	Nature of Account	Balance Previous Year	Balance of Current Year	Remarks
Bank	(1)		(2)	(3)	(4)	(2)
	1					
	2					
	3					
	4					
	5					
	6					
	7					
	8					
	9					
	10					
		TOTAL				

TRANCULINOE. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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(TYPE OF PLAN)						
SCHEDULE 13 - Accrued Trust Fees						
Name of		Nature	Balance	Balance of	Remarks	
Trustee Bank	Particulars	of Account	Previous Year	Current Year		
1	2	3	4	5	6	
1	1					
2	2					
3	3					
4	1					
5	5					
6	3					
7	7					
	TOTAL					

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	(TYPE OF PLAN)							
SCHEDULE 14 - Accrued Taxes								
Name of		Nature of Account	Balance	Balance of Current Year	Remarks			
Trustee Bank	Particulars		Previous Year					
1	2	3	4	5	6			
1								
2								
3								
4								
5								
6								
7								

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	(TYPE OF PLAN)							
	SCHEDULE 15 - Other Liabilities							
Name of		Nature	Balance	Balance of Current Year 5	Remarks 6			
Trustee Bank	Particulars	of Account	Previous Year					
1	2	3	4					
	1							
	2							
	3							
	4							
	5							
	6							
	0							

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ANNUAL	ANNUAL STATEMENT of Trust Funds for the Year Ended December 31, 20 of							
	(TYPE OF PLAN)							
		S	CHEDULE 16 Retained E	Earnings				
Name of Trustee Bank	Retained Earnings, Beginning		Realized Income	Unrealized Income	Prior Period Adjustments	Retained Earnings, End		
1		2	3	4	5	6		
	1							
	2							
	3							
	4							
	5							
	6							
	7							
TOTAL								

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# (TYPE OF PLAN) \_\_\_\_\_, *President;*\_\_\_\_\_\_, *Vice President:*\_\_\_\_\_\_, *Vice President:*\_\_\_\_\_\_, *Treasurer,*\_\_\_\_\_, *Treasurer,*\_\_\_\_\_, *Treasurer,*\_\_\_\_\_, *Chief Accountant of the company, being* and , Chief Accountant of the company, bei duly sworn, each for himself deposes and says that they are the herein described officers of the said company. and that on the 31st day of December last, all the herein described assets were the absolute properties of the said company, free and clear from any liens or claims thereon, except as therein stated, and that the foregoing statement, with the schedules and explanations therein contained, annexed or referred to are a full and correct statement of all the Assets, Liabilities, Income and Expenses and of the condition and affairs of the said company on the said thirty-first day of December last, and for the year ended on that date, according to the best of their information, knowledge and belief, respectively. , President , Vice President , Actuary , Treasurer , Chief Accountant Affix Corporate Residence Certificate No. Documentary Issued at \_\_\_\_\_ Stamps on Additional Residence Certificate No. issued at \_\_\_\_\_ on Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_. Notary Public My commission expires on December 31, Doc. No. Page No. Book No. Series of CERTIFIED TRUE / PHOTO COPY

#### ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 20 of

TRANCULINO'E. ESPEJON IC Supervising Administrative Officer Administrative Division Insurance Commission

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		(NAME OF THE PRE-NEED COMPANY)			
		For the Year Ended 31 December 20			
A.	Sca	canned copy of the following, in PDF format:			
	1.	Audited Financial Statements (AFS) with comparative figures, signed by an External Auditor accredited by			
		the Insurance Commission, and duly stamped by the Bureau of Internal Reveue (BIR);			
	2.	Adjusted Trial Balance (ATB) signed by the Chief Accountant;			
		Reconciliation Statement of the AFS versus the ATB figures;			
	4.	Detailed Reconciliation Statement of Trust Fund Balances per Trustee Bank(s) versus AFS/AS;			
	5.	Copy of Minutes of Meeting of the Board and Executice Committees, including a copy of Board Resolutions			
		made during the year.			
	6.	Actuarial Valuation Report (AVR) together with:			
		a. Certification by the actuary and/or any accountable officer of the company on the actuary and			
		completeness of the in-force files used in valuation of reserves; and			
		b. Certification on the prudent adequacy of the Pre-need reserve that it shall provide at least the guarantee			
		contractial benefits under each pre-need contract of the company.			
	7.	General Information Sheet (GIS) filed with Secutities and Exchange Commission (SEC)			
	8.	Duly notarized Sworn Statement from the responsible officers of the company stating that:			
		" Any deficiency in Trust Funds has been duly addressed, attaching the necessary documents as proofs therof";			
	9.	Duly Notarized Sworn Statement of the company's insurer certifiying the coverage on the life insurance			
		policies or guarantees on premium payments assumed by the insurance company, indicating the extent,			
		trem and duration of such coverage/guarantees;			
	10.	BIR tax returns/payments for the year on Income Tax and Value Added Tax (VAT)			
B	Exc	cel files of the following:			
	1.	Annual Statements showing the financial condition of the pre-need company and all its exhibits and			
		schedules;			
	2.	Annual Statements of Trust Funds per type of plan and all its exhibits and schedules;			
	3.	Complete details of Exhibit 6, Exhibit 7 and Exhibit 8;			
	4.	Adjusted Trial Balance (ATB);			
	5.	Reconciliation Satement of the AFS versus the ATB figures;			
	6.	Detailed Reconciliation Statement of Trust Fund Balances per Trustee Bank(s) versus AFS/AS;			
		Summary of taxes paid including Documentary Stamps Tax (DST), withholding taxes and all other taxes.			

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